

Docket No. : 58266/J257 CHRISTIE, PARKER & HALE, LLP  
Applicant or Patentee : Woo-Nyon Kim, et al. Post Office Box 7068  
Application No. : Pasadena, CA 91109-7068  
Filed : August 23, 2006  
Entitled : CLAY-POLYURETHANE NANOCOMPOSITE AND METHOD FOR  
PREPARING THE SAME

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37) CFR 1.9(f) & 1.27(d) – NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION : Korea University Industry and Academy Cooperation Foundation  
ADDRESS OF NONPROFIT ORGANIZATION : Anam-dong 5-ga 1, Seongbuk-gu, Seoul 136-701  
Republic of Korea

**TYPE OF NONPROFIT ORGANIZATION**

☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION  
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))  
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  
(NAME OF STATE: \_\_\_\_); (CITATION OF STATUTE: \_\_\_\_)  
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA  
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA.  
(NAME OF STATE: \_\_\_\_); (CITATION OF STATUTE: \_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention entitled CLAY-POLYURETHANE NANOCOMPOSITE AND METHOD FOR PREPARING THE SAME by inventor(s) **Woo-Nyon Kim; Won-Jin Seo; and Jae-Sung Han** described in:

\_\_\_\_\_ the specification filed herewith  
\_\_\_\_\_ Application No. \_\_\_\_\_ filed August 23, 2006  
\_\_\_\_\_ Patent No. \_\_\_\_ issued

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME :  
ADDRESS :  
\_\_\_\_ INDIVIDUAL \_\_\_\_ SMALL BUSINESS CONCERN \_\_\_\_ NONPROFIT ORGANIZATION

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
**(37) CFR 1.9(f) & 1.27(d) – NONPROFIT ORGANIZATION**

**Docket No.: 58266/J257**

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING : X Young In PARK

TITLE IN ORGANIZATION OF PERSON SIGNING : X Head, KU Industry & Academy Collaboration Foundation

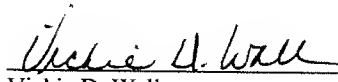
ADDRESS OF PERSON SIGNING : X Anam-dong, Sungbuk-gu, Seoul 136-701  
Korea, Korea Univ.

SIGNATURE X Young In Park DATE X Sept. 7, 2006

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

*I hereby certify that this correspondence is being electronically filed with the United States Patent and Trademark Office on June 12, 2007 at or before 11:59 p.m. Pacific Time under the Rules of 37 CFR § 1.8.*

  
Vickie D. Wall

Applicant : Woo-Nyon Kim Confirmation No. 2260  
Application No. : 10/590,636  
Filed : August 23, 2006  
Title : CLAY-POLYURETHANE NANOCOMPOSITE AND METHOD FOR  
PREPARING THE SAME  
Grp./Div. : 1714  
Examiner : To be assigned  
Docket No. : 58266/J257

**SUBMISSION OF SMALL ENTITY STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

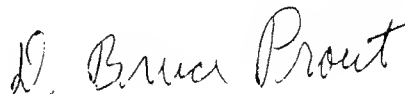
Post Office Box 7068  
Pasadena, CA 91109-7068  
June 12, 2007

Commissioner:

Applicant hereby submits the executed Declaration Claiming Small Entity Status for a Non-Profit Organization for the above-identified patent application. Entry of this Declaration is requested.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP



By \_\_\_\_\_

D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/vdw  
Enclosure: Small Entity Declaration